

# Kinetic Instabilities in Relativistic Plasmas: The Harris Instability Revisited

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Kinetic Modeling of Astrophysical Plasmas  
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# Outline

## What we needed

- Mechanism to produce aperiodic fluctuations
- Applicable to relativistic, magnetized, and anisotropic media

## What we had

- Weibel & Harris instabilities
- General description of linear instabilities

## What we did

- Relativistic generalization
- Inclusion of perturbed magnetic fields & ion motion
- Coupling of electrostatic/electromagnetic modes

## What we obtained

- Powerful tool to describe instabilities
- Gamma-ray bursts: prediction for particle composition

# Motivation

## Aperiodic fluctuations

- Purely growing modes
- Not propagating!
- Finite systems

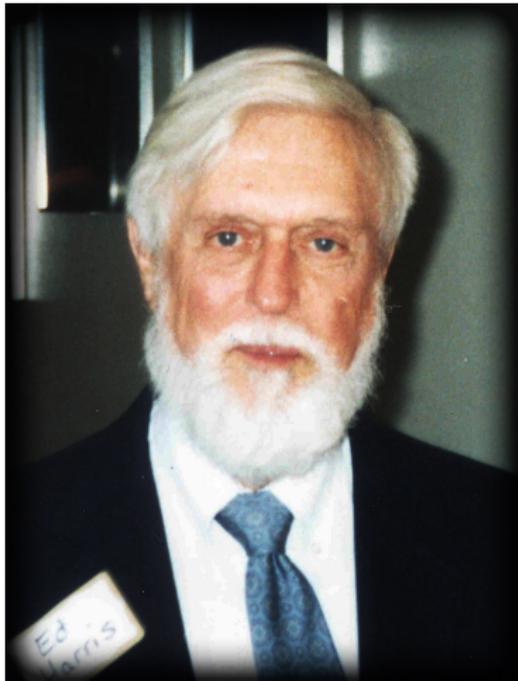
## Astrophysical jets

- Gamma-ray bursts:
  - Turbulent fields
  - Dissipation
  - Shock wave
  - Radiation
- Magnetized systems
- Rest frame: perpendicular motion only
- Hot gas: relativistic temperatures
- ☞ Harris instability



# Harris Instability I

## Harris, Ed



- 1948 Bachelor of Science in Electrical Engineering from UT (University of Tennessee)
- 1959 Masters in Physics from UT
- 1953 Ph. D. in Physics from UT in Theoretical Atomic and Nuclear Physics
- 1953 – 1957 Naval Research Laboratory, Washington, D. C.
- 1957 – 1994 Professor at the Department of Physics
- 1975 – 1994 Benford Foundation Distinguished Professor in Physics
- 1994 – 2003 The Department's most active emeritus professor

# Harris Instability II

## Key Features<sup>1</sup>

- Electrostatic instability
- Aperiodic fluctuations
- Magnetic background field included
- Ion motion neglected
- Fluctuating magnetic fields neglected
- Limited to non-relativistic regime

## Instability Condition

- Temperature anisotropy  $T_{\perp} > T_{\parallel}$
- Velocity anisotropy  $v_{\perp} \neq v_{\parallel}$
- Original distribution  $f \propto \delta(v_{\parallel}) \delta(v_{\perp} - v_0)$
- Instability if  $k_{\perp} v_0 \gtrsim 2\Omega$

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<sup>1</sup>Harris, Phys. Rev. Lett. 2, 34 (1959)

# Harris Instability III

## Terrestrial Applications

- Intense charged particle beams<sup>1,2</sup>
- Beams with high temperature anisotropy<sup>3</sup>
- Microinstabilities in Tokamak reactors<sup>4</sup>

## Astrophysical Applications

- Key ingredient for plasma radiation<sup>5</sup>
- Target for inverse Compton scattering<sup>6</sup>
- Shock front between AGN accretion disk and jets<sup>7</sup>
- AGN and GRB jets with relativistic velocity dispersion

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<sup>1</sup> Startsev, Davidson, & Qin, Phys. Rev. ST Accel. Beams 6, 084401 (2003)

<sup>2</sup> Davidson et al., Phys. Rev. ST Accel. Beams 7, 114801 (2004)

<sup>3</sup> Wang, Phys. Rev. ST Accel. Beams 7, 024201 (2004)

<sup>4</sup> Seki et al., Phys. Rev. Lett. 62, 1989 (1989)

<sup>5</sup> Benz, *Plasma Astrophysics: Kinetic Processes in Solar and Stellar Coronae* (1993)

<sup>6</sup> Tautz, Lerche, & Schlickeiser, Phys. Plasmas 13, 052112 (2006)

<sup>7</sup> Gvaramadze & Machabeli, ASP Conf. Series 54, 85 (1994)

# Harris Instability IV

## Neutral Points

- Definition:  $\omega(\hat{k}) = 0$
- Always unstable modes for  $k \geq \hat{k}$
- No neutral points: no information

## Relativistic Generalization<sup>1</sup>

- For  $k_{\parallel} = 0$ : similar equation as that of Harris

$$\mathcal{H}(k_{\perp}) = 1 - \sum_a \frac{\omega_{p,a}^2}{k_{\perp}^2} \int d^3p \gamma \left[ 1 - J_0^2 \left( \frac{k_{\perp} v_{\perp}}{\Omega_a} \right) \right] \frac{\partial f_a}{\partial p_{\perp}} = 0$$

- For  $f \propto \delta(v_{\perp} - v_0)$  one has  $J_0(v_0) J_1(v_0) \stackrel{!}{\geq} 0$
- For  $f \propto e^{-v^2}$  there are no neutral points (and no instabilities)
- General case ( $k_{\parallel} \neq 0$ ):  
resonant wave-particle effects (more complicated)

<sup>1</sup>Tautz, Lerche, & Schlickeiser, Phys. Plasmas 13, 052112 (2006)

# TLS Method I

## Well-known Approach

- Linearize Vlasov-Maxwell equations
- Define Maxwell operator

$$\Lambda_{lm} = \frac{k^2 c^2}{\omega^2} \left( \frac{k_l k_m}{k^2} - \delta_{lm} \right) + \delta_{lm} + \frac{4\pi i}{\omega} \sigma_{lm}$$

with  $\sigma_{lm}$ : relativistic conductivity tensor

- Zeros of  $\det \Lambda_{lm}$  correspond to dispersion relations  $\omega(k)$

## Small Frequencies<sup>1</sup>

- Expand Maxwell operator for  $\omega \ll 1$
- For perpendicular wave propagation:

$$F(k_{\perp}) + E(k_{\perp}) \omega^2 + \dots = 0$$

- General case ( $k_{\parallel} \neq 0$ ): more complicated

<sup>1</sup>Tautz, Lerche, & Schlickeiser, J. Math. Phys. 48, 013302 (2007)

# TLS Method II

## Effect of the Ions

- Consider case of  $k_{\parallel} = 0$
- Conductivity tensor given by

$$\sigma_{lm} = -i \sum_a e_a n_a^2 \int d^3p \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \frac{T_{lm,a}}{\omega - n\Omega_a/\gamma_a}$$

- Neutral points require  $\omega \rightarrow 0$ , leading to

$$\sigma_{lm} \propto \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} \left( 1 + \frac{\gamma^2 \omega^2}{n^2 \Omega^2} \right) \frac{T_{lm}}{n}$$

## Different limiting cases

- 1 Harris' original approach  
Set **first**  $m_i \rightarrow \infty$ : no ion contribution to neutral points
- 2 TLS new approach  
Set **first**  $\omega \rightarrow 0$ : large ion contribution to neutral points

# TLS Method III

## Neutral Points

- From dispersion relation  $F(k_{\perp}) + E(k_{\perp})\omega^2 = 0$ :

$$\text{Neutral points} \Leftrightarrow F(k_{\perp}) = 0$$

- Neutral points equation

$$\mathcal{H}(k_{\perp}) \cdot T(k_{\perp}) = \mathcal{C}(k_{\perp})$$

↙
↓
↘

Harris
Transverse
Coupling

## Mode Coupling

- Now coupled electrostatic/electromagnetic mode: “quasi-longitudinal” or “quasi-transverse”
- Mode coupling sensitive to mass ratio:

$$\mathcal{C} \propto \sum_a \omega_{p,a}^2 \Omega_a^{-1}$$

- Therefore:  $\mathcal{C} = 0$  for electron-positron plasma

# Simple Examples

## Distribution function

- Mono-energetic particles<sup>1</sup>

$$f_a = \frac{1}{2\pi v_\perp} \delta(v_\parallel) \delta(v_\perp - V_a)$$

- Simplest case to sort out specific effects
- Application: jet rest-frame<sup>2</sup>
- Two fundamentally different cases
  - 1 Immobile ions,  $V_i = 0$   
(allowed because  $m_i \rightarrow \infty$  after  $\omega \rightarrow 0!$ )
  - 2 Pair plasma,  $V_e = V_p$   
(no mode coupling!)
- Future extensions:
  - Power-law distributions
  - Thermal Maxwellian plasmas

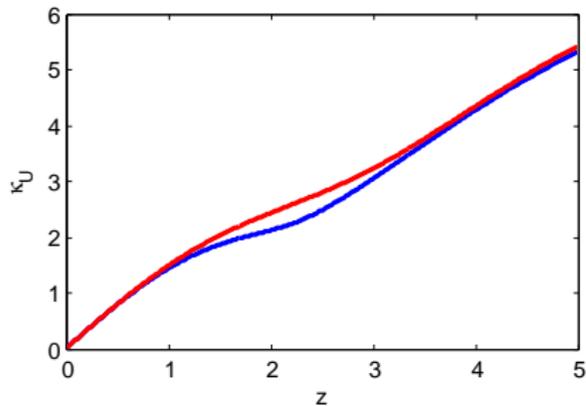
<sup>1</sup> Tautz, Lerche, & Schlickeiser, J. Math. Phys. 48, 013302 (2007)

<sup>2</sup> Tautz & Lerche, Astrophys. J. 653, 447 (2006)

# Neutral Points I

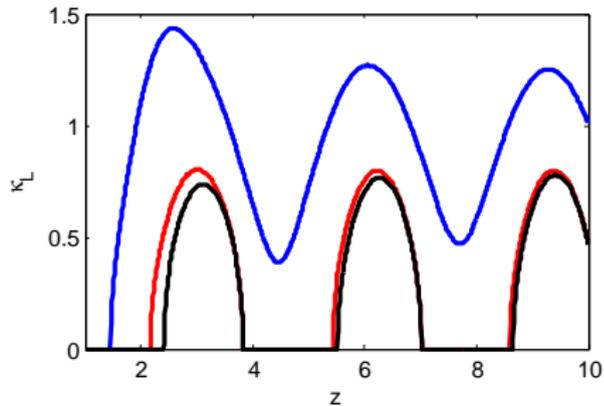
## Electron-Proton Plasma

- Longitudinal neutral points



- Small coupling effect
- Always one neutral point, regardless of parameters
- No relativistic correction

- Transverse neutral points

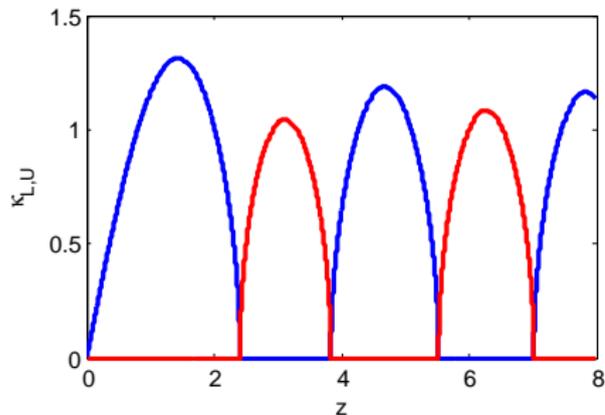


- Huge coupling effect
- No neutral point for too high background  $B$  field
- Small relativistic correction

# Neutral Points II

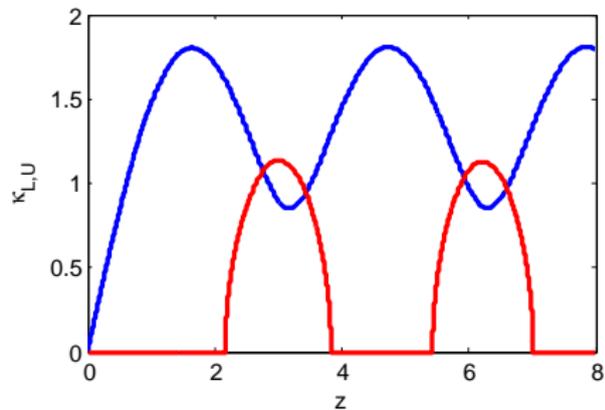
## Electron-Positron Plasma

● Non-relativistic case



- No coupling
- No transverse neutral points for large  $B$
- Only one neutral point

● Relativistic case

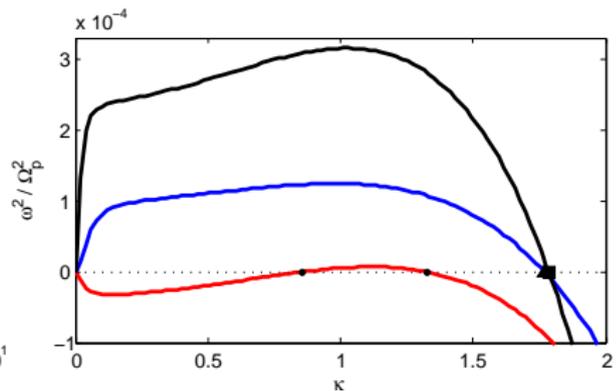
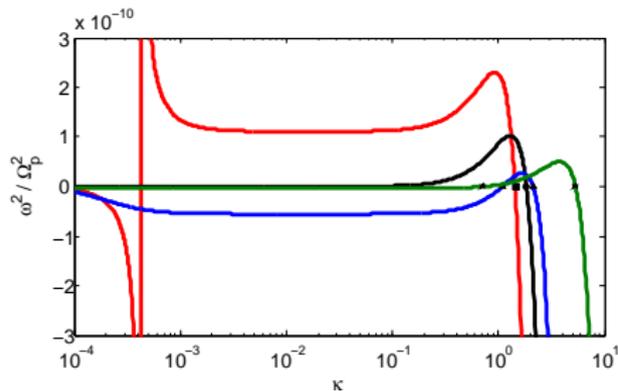


- No coupling
- No transverse neutral points for large  $B$
- One/two neutral points

# Instability Rates

## Frequency Determination

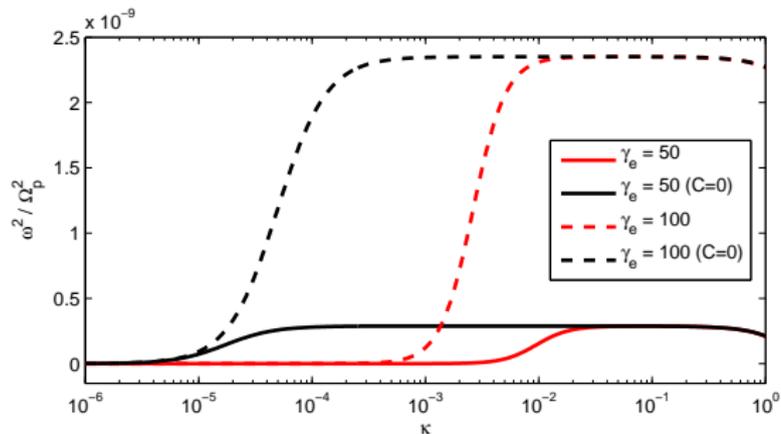
- From dispersion relation:  $\omega^2(k_{\perp}) = -\frac{F(k_{\perp})}{E(k_{\perp})}$
- Therefore: Instability if
  - neutral points ( $F = 0$ ),
  - $F > 0 \wedge E > 0$ , or
  - $F < 0 \wedge E < 0$
- Zeros in  $E$ : Higher order in  $\omega$  needed



# Stabilization

## Mechanisms to Stop/Suppress the Instability

- High background magnetic field strength<sup>1,2</sup>
- Saturation at the end of the linear phase<sup>3</sup>
- Mode coupling<sup>1</sup>



<sup>1</sup> Tautz, Lerche, & Schlickeiser, J. Math. Phys. 48, 013302 (2007)

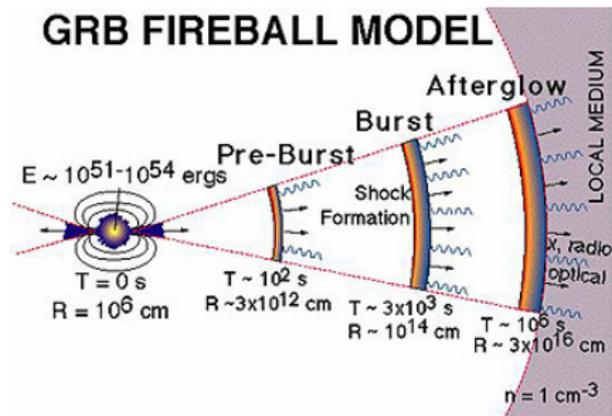
<sup>2</sup> Stockem, Dieckmann, & Schlickeiser, Plasma Phys. Contr. Fusion 50, 025002 (2008)

<sup>3</sup> Kato, Phys. Plasmas 12, 080705 (2005)

# Gamma-Ray Bursts I

## Basics

- Short and intense pulses of soft gamma radiation
- Luminosity:  $\sim 10^{51}$  ergs
- Details of the radiation mechanisms not yet resolved<sup>1</sup>
- Line of arguments:<sup>2</sup>
  - 1 Aperiodic fluctuations
  - 2 Energy dissipation
  - 3 Shock waves
  - 4 Radiation bursts
- Need for instabilities
- Constraints for parameters: particle density,  $B$  field
- Check differences for electron-proton vs. electron-positron plasma

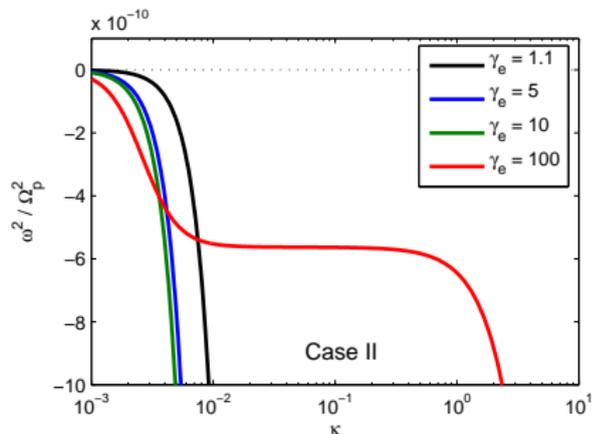
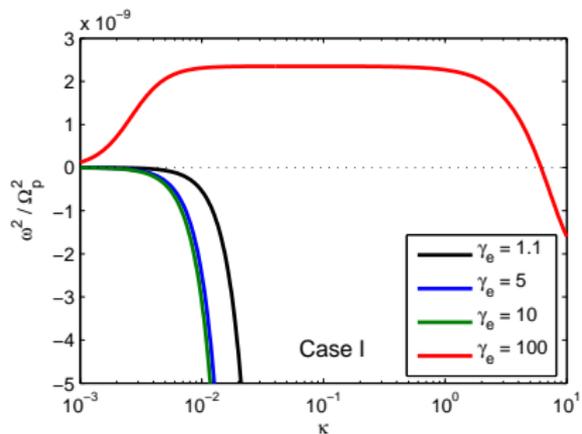


<sup>1</sup> Schlickeiser, Vainio, Böttcher, Lerche, Pohl, & Schuster, *Astron. Astrophys.* 393, 69 (2002)

<sup>2</sup> Tautz & Lerche, *Astrophys. J.* 653, 447 (2006)

# Gamma-Ray Bursts II

## Electron-proton plasma



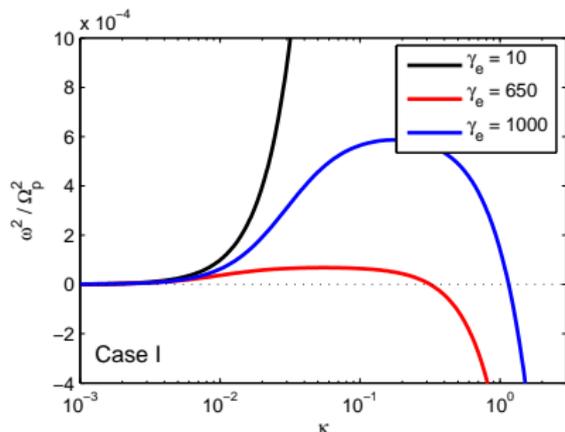
## Interpretation

- Negative values for  $\omega^2$  correspond to instabilities
- Instability even for moderate electron Lorentz factors
- Higher wavenumbers: frequency approaches constant value;
  - requires higher orders in  $\omega$

# Gamma-Ray Bursts III

## Electron-positron plasma

- No instability, except for very high Lorentz factors ( $\gamma_e \gtrsim 650$ )



## Conclusion<sup>1</sup>

- Aperiodic fluctuations in the jet of a Gamma-Ray Burst are most likely generated through an **electron-proton** plasma

<sup>1</sup>Tautz & Lerche, ApJ 653, 447 (2006)

# Summary & Outlook

## Harris Instability

- Magnetized plasma
- Aperiodic electrostatic fluctuations

## TLS Method

- Generalization of the Harris instability
- Coupled electrostatic/electromagnetic modes
- Suppressed for high  $B$  fields

## Applications

- Applicable to all distribution functions
- GRB jet rest frame: prediction for particle composition

## Future

- Maxwellian, power-law plasmas
- Parallel wave propagation