Decoding solar wind turbulence with kinetic plasma instability theory - A clue to cosmic magnetic field equipartition

> R. Schlickeiser Institut für Theoretische Physik Lehrstuhl IV: Weltraum- und Astrophysik Ruhr-Universität Bochum, Germany

> > May 20, 2010



Topics:

- 1. Introduction
- 2. Dispersion relations of parallel fluctuations
- 3. Observed solar wind fluctuations
- 4. Weakly amplified solutions
- 5. Weakly propagating solutions
- 6. Summary and conclusions

References:

Linear theory of temperature anisotropy instabilities in magnetized thermal pair plasmas; Schlickeiser, R., 2010, The Open Plasma Physics Journal 3, 1

Linear theory of weakly amplified, parallel propagating, transverse temperature anisotropy instabilities in magnetized thermal plasmas: Schlickeiser, R., Skoda, T., 2010, ApJ, in press



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

1. Introduction

Equipartition conditions for the magnetic field energy density and the kinetic energy density of plasma particles in astrophysical sources are also often invoked for convenience in order to analyze cosmic synchrotron intensities. Observationally, for a variety of nonthermal sources the equipartition concept is supported by magnetic field estimates as e.g. in the Coma cluster of galaxies and radioquiet active galactic nuclei.

From a theoretical point of view, there is no simple explanation of such partition. An upper limit on the magnetic field strength can be derived by applying Chandrasekhar's general result, derived from the virial theorem, that for the existence of a stable equilibrium in the radiating source it is necessary that the total magnetic field energy of the system does not exceed the system's gravitational potential energy. Such a magnetic field upper limit corresponds to lower limits on the system's parallel and perpendicular plasma betas, $\beta_{\parallel} = 8\pi n k_B T_{\parallel}/B^2$ and $\beta_{\perp} = 8\pi n k_B T_{\perp}/B^2$, respectively, as bi-Maxwellian plasma distributions with different temperatures along and perpendicular to the magnetic field are the most likely distributions of cosmic plasmas, as observations of the solar wind plasma indicate.



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Commence

The solar wind plasma is the only cosmic plasma where detailed in-situ satellite observations of plasma properties are available (Bale et al. 2009). As other dilute cosmic plasmas have similar densities, temperatures and magnetic fields as the solar wind, the physical processes leading to equipartition conditions probably apply to all other dilute cosmic plasmas.

Ten years (long-time average) of WIND/SWE data (see Figs. 1 and 2) have demonstrated that the proton and electron temperature anisotropies $A = T_{\perp}/T_{\parallel}$ are bounded by mirror and firehose instabilities at large values $\beta_{\parallel} \geq 1$ of the parallel plasma beta $\beta_{\parallel} = 8\pi n k_B T_{\parallel}/B_0^2 = P_{\rm thermal,\parallel}/P_B$.







In the parameter plane defined by the temperature anisotropy $A = T_{\perp}/T_{\parallel}$ and the parallel plasma beta β_{\parallel} , stable plasma configuration are only possible within a rhomb-like configuration around $\beta_{\parallel} \simeq 1$, whose limits at large $\beta_{\parallel} \gg 1$ are defined by the threshold conditions for the mirror and firehose instabilities. If a plasma would start with parameter values outside this rhomb-like configuration, it immediately would generate fluctuations via the mirror and firehose instabilities, which quickly relax the plasma distribution into the stable regime within the rhomb-configuration.



In order to understand the confinement limits also at small values of the parallel plasma beta $\beta_{\parallel} < 1$ we analyze here rigorously the full linear dispersion relation in a collisionless homogenous plasma with anisotropic $(A \neq 1)$ bi-Maxwellian particle velocity distributions for electromagnetic fluctuations with real wave vectors $(\vec{k} \times \vec{B_0} = 0)$ parallel to the uniform background magnetic field $\vec{B_0}$ and complex frquency

$$\omega(k) = \omega_R(k) + i\gamma(k), \quad \delta \vec{B}(z,t) \propto e^{i(kz - \omega_R t)} e^{\gamma t} = e^{ik(z - Rt)} e^{Skt} \qquad (1)$$

Two types of fluctuations:

(1) Weakly amplified solutions with $\gamma \ll \omega_R$.

(2) Weakly propagating solutions with $\omega_R \ll \gamma$ including aperiodic solutions with $\omega_R = 0$.







Dispersion Observed solar Weakly amplified
Observed solar Weakly amplified
Weakly amplified
Weakly
Comments on
Summary and

Figure 2: Observed properties of solar wind turbulence (from Bale et al. 2009)

2. Dispersion relations of parallel fluctuations

2.1. Basic equations

The theoretical problem is well posed: for a nonzero background magnetic field strength the nonrelativistic dispersion relations for right-handed (RH) and left-handed (LH) polarized transverse fluctuations with wave vectors $\vec{k} \times \vec{B} = 0$ in a thermal electron-proton plasma are (Gary 1993)

$$0 = D_{RH,LH}(k,\omega) = \omega^2 - k^2 c^2 + \sum_{a=p,e} \omega_{p,a}^2 \left[\frac{\omega}{\sqrt{2}ku_{a,\parallel}} Z\left(\frac{\omega \pm \Omega_a}{\sqrt{2}ku_{a,\parallel}}\right) + \frac{1}{2} \left(1 - A_a\right) Z'\left(\frac{\omega \pm \Omega_a}{\sqrt{2}ku_{a,\parallel}}\right) \right] = 0, \quad (2)$$

where we sum over a proton (p)-electron (e) plasma. and where $\omega_{p,e}$ denotes the electron plasma frequency, $u_{a,\parallel} = (k_B T_{a,\parallel}/m_a)^{1/2}$ is the parallel thermal velocity of component a, $\Omega_a = e_a B/(m_a c)$ is the non-relativistic gyrofrequency, and $A_a = T_{a,\perp}/T_{a,\parallel}$ is the temperature anisotropy of component a, where the directional subscripts refer to directions relative to the background magnetic field. The dispersion relations (2) allow for different values of the proton and electron parallel temperatures and temperature anisotropies.

Z(x) and $Z^{'}(x)$ denote the plasma dispersion function (Fried and Conte 1961) and its derivative

$$Z(x) = \pi^{-1/2} \int_{-\infty}^{\infty} dt \, \frac{e^{-t^2}}{t - x} \tag{3}$$



We use the asymptotic expansions

$$Z(x) \simeq i\pi^{1/2} e^{-x^2} - 2x \left[1 - \frac{2x^2}{3} \right], \ \mathbf{x} \ll 1$$
(4)

and

$$Z(x) \simeq i\sigma \pi^{1/2} e^{-x^2} - \frac{1}{x} \left[1 + \frac{1}{2x^2} + \frac{3}{4x^4} \right], \ \mathbf{x} \gg 1$$
(5)

where $\sigma = 0$ if $\Im(x) > 0$, $\sigma = 1$ if $\Im(x) = 0$ and $\sigma = 2$ if $\Im(x) < 0$. It is convenient to introduce the complex phase speeds

$$f = \frac{\omega}{kc} = \frac{\omega_R + i\gamma}{kc} = R + iS, \quad R = \frac{\omega_R}{kc}, \quad S = \frac{\gamma}{kc}, \tag{6}$$

the plasma frequency phase speed

$$w = \frac{\omega_{p,e}}{kc},\tag{7}$$

and the absolute value of the electron gyrofrequeny phase speed

$$b = \frac{|\Omega_e|}{kc},\tag{8}$$

where $|\Omega_e|=eB/m_ec.~\mu=m_p/m_e=1836$ is the mass ratio, and

$$\Theta_e \equiv \left(\frac{2k_B T_{e,\parallel}}{m_e c^2}\right)^{1/2}, \ \Theta_p \equiv \left(\frac{2k_B T_{p,\parallel}}{m_p c^2}\right)^{1/2} \tag{9}$$



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

We discuss high density plasmas with $\omega_{p,e} \gg |\Omega_e|$, corresponding to $w \gg b$, which applies to nearly all astrophysical plasmas. These plasmas are dense enough that the electron plasma frequency is much larger than the electron gy-rofrequency, but small enough that elastic Coulomb collisions can be neglected. The two dispersion relations (2) then read (f = R + iS)

$$0 = \frac{D_{RH,LH}(k,f)}{k^2 c^2} = \Lambda_{RH,LH}(k,f) = f^2 - 1 + \frac{w^2}{\mu} \left[\frac{f}{\Theta_p} Z\left(\frac{f \pm \frac{b}{\mu}}{\Theta_p}\right) + \frac{1}{2} (1 - A_p) Z'\left(\frac{f \pm \frac{b}{\mu}}{\Theta_p}\right) \right] + w^2 \left[\frac{f}{\Theta_e} Z\left(\frac{f \mp b}{\Theta_e}\right) + \frac{1}{2} (1 - A_e) Z'\left(\frac{f \mp b}{\Theta_e}\right) \right]$$
(10)

The symmetry $\Lambda(-k, f) = \Lambda(k, f)$ of both dispersion relations allows us to consider only positive values of the wavenumber k > 0. To simplify the analysis we consider only equal parallel temperature plasmas $(T_{e,\parallel} = T_{p,\parallel})$ so that $\Theta_e = \Theta$ and $\Theta_p = \Theta/\mu^{1/2}$.



2.2. Weak amplification limit

The dispersion relations (10) can be separated into real and imaginary parts

$$\Lambda(R,S) = \Re \Lambda(R,S) + i \Im \Lambda(R,S) = 0 \tag{11}$$

In the weak amplification approximation we equate the real and imaginary parts to zero and make a Taylor-expansion around ${\cal S}=0$ to obtain

$$\Re\Lambda(R,S)) \simeq \Re\Lambda(R,S=0) + S \left[\frac{\partial \Re\Lambda(R,S)}{\partial S}\right]_{S=0} = 0,$$
 (12)

and

$$\Im\Lambda(R,S) \simeq \Im\Lambda(R,S=0) + S \left[\frac{\partial \Im\Lambda(R,S)}{\partial S}\right]_{S=0} = 0,$$
 (13)

Since $\Lambda(R,S)$ is a meromorphic function of the complex variable $f=R+\imath S,$ we may use the Cauchy-Riemann relations

$$\frac{\partial \Re \Lambda(R,S)}{\partial R} = \frac{\partial \Im \Lambda(R,S)}{\partial S}, \quad \frac{\partial \Re \Lambda(R,S)}{\partial S} = -\frac{\partial \Im \Lambda(R,S)}{\partial R}$$
(14)



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

Eq. (13) then indicates that $\Im\Lambda$, to first order in S is

$$\Im\Lambda(R,S) \simeq \Im\Lambda(R,S=0) + S \frac{\partial \Re\Lambda(R,S=0)}{\partial R} = 0$$
 (15)

Likewise, Eq. (14) yields

$$\Re\Lambda(R, S=0) - S\frac{\partial\Im\Lambda(R, S=0)}{\partial R} = 0$$
(16)

which, in combination with Eq. (15), yields to lowest order in the small quantity $(S/R)^2 \ll 1$ that the real part of the dispersion relation satisfies

$$\Re\Lambda(R, S=0) = 0 \tag{17}$$

Eq. (15) provides the corresponding imaginary part

$$S = -\frac{\Im\Lambda(R, S=0)}{\frac{\partial\Re\Lambda(R, S=0)}{\partial R}}$$
(18)

in the weak damping/amplification limit. For consistency, the resulting weak damping/amplification solutions have to fulfil $s \ll R$, which has to be checked aposteriori.



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Commence and

2.3. Weak propagation limit

In the weakly propagating limit $(R \ll |S|)$ we Taylor-expand around R = 0 to obtain in a similar way for the real part of the dispersion relation

$$\Re\Lambda(R=0,S) = 0,\tag{19}$$

whereas the corresponding real part is given by

$$R = \frac{\Im \Lambda(R=0,S)}{\frac{\partial \Re \Lambda(R=0,S)}{\partial S}}$$
(20)

in the weakly propagating limit. For consistency, the resulting weakly propagating solutions have to fulfil $R \ll s$, which has to be checked aposteriori.



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on

3. Observed solar wind fluctuations

The solar wind magnetic fluctuations measured by Bale et al. (2009) near 1 AU have wavenumbers

$$k \simeq \alpha / \rho_p \tag{21}$$

with $\alpha = 0.56 \pm 0.32$ and the thermal proton gyroradius $\rho_p = 4.23 \cdot 10^6 T_5^{1/2} B_4^{-1}$ cm, where we adopt an interplanetary magnetic field value $B = 10^{-4} B_4$ Gauss and a temperature $T = 10^5 T_5$ K. With the solar wind particle density $n_e = 10^2 n_2$ cm⁻³ we find that the plasma frequency phase speed (7)

$$w = \frac{79.5}{\alpha} \frac{(T_5 n_2)^{1/2}}{B_4} = \frac{142}{1 \pm 0.57} \frac{(T_5 n_2)^{1/2}}{B_4}$$
(22)

covers the interval

$$90\frac{(T_5n_2)^{1/2}}{B_4} \le w \le 330\frac{(T_5n_2)^{1/2}}{B_4}$$
(23)

The electron gyrofrequency phase speed (8)

$$b = 3.13 \cdot 10^{-3} w \frac{B_4}{n_2^{1/2}} \tag{24}$$

is indeed much smaller than the electron plasma frequency phase speed w justifying the high density plasma approximation $w \gg b$.



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

4. Weakly amplified solutions

For weakly damped or amplified fluctuations

$$0 = \Re \Lambda_{RH,LH}(R, S = 0) = R^{2} - 1$$
$$+ w^{2} \left[\frac{R}{\Theta} \Re Z \left(\frac{R \mp b}{\Theta} \right) + \frac{1 - A_{e}}{2} \Re Z' \left(\frac{R \mp b}{\Theta} \right) \right]$$
$$+ \frac{R}{\Theta \mu^{1/2}} \Re Z \left(\frac{\mu^{1/2}}{\Theta} \left[R \pm \frac{b}{\mu} \right] \right) + \frac{1 - A_{p}}{2\mu} \Re Z' \left(\frac{\mu^{1/2}}{\Theta} \left[R \pm \frac{b}{\mu} \right] \right) \right] \quad (25)$$

and

$$\Im\Lambda_{RH,LH}(R,S=0) = \frac{w^2}{2} \left[\frac{1-A_p}{\mu} \Im Z' \left(\frac{\mu^{1/2}}{\Theta} \left[R \pm \frac{b}{\mu} \right] \right) + (1-A_e) \Im Z' \left(\frac{R \mp b}{\Theta} \right) \right] + \frac{w^2 R}{\Theta} \left[\frac{1}{\mu^{1/2}} \Im Z \left(\frac{\mu^{1/2}}{\Theta} \left[R \pm \frac{b}{\mu} \right] \right) + \Im Z \left(\frac{R \mp b}{\Theta} \right) \right],$$

$$(26)$$

which have to be investigated for positive values of $R \ge 0$.

These dispersion relations can be further reduced with the asymptotic expansions (4) and (5), depending on the absolute values of the arguments of the plasma dispersion function being small or large compared to unity.



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

Scaling R=bx, corresponding to $x=\omega_R/|\Omega_e|,$ and introducing the parallel plasma beta

$$\beta_{\parallel} = \frac{\Theta^2 w^2}{b^2} = \frac{8\pi n_e k_B T_{\parallel}}{B^2},$$
(27)

the absolute values of the arguments read

$$P_{\pm}(x) = \frac{w\mu^{1/2}}{\beta_{\parallel}^{1/2}} \left[|x \pm \frac{1}{\mu}| \right], \quad E_{\pm}(x) = \frac{w}{\beta_{\parallel}^{1/2}} |x \pm 1|, \quad (28)$$

which are shown in Fig. 3 as a function of the normalized real frequency x.



Figure 3: Arguments of plasma dispersion function.



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

For parallel plasma beta values $\beta_{\parallel} \ll (w^2/\mu) = 11(w/142)^2$ we note that $P_+(x) \gg 1$, $E_+(x) \gg 1$ for all values of x, whereas $P_-(x) \gg 1$ for x outside the small interval

$$x \notin \left[\frac{1}{\mu} - \frac{\beta_{\parallel}^{1/2}}{w\mu^{1/2}}, \frac{1}{\mu} + \frac{\beta_{\parallel}^{1/2}}{w\mu^{1/2}}\right]$$
(29)

around the proton cyclotron frequency, and $E_-(x)\gg 1$ for x outside the small interval

$$x \notin \left[1 - \frac{\beta_{\parallel}^{1/2}}{w}, 1 + \frac{\beta_{\parallel}^{1/2}}{w}\right]$$
(30)

around the electron cyclotron frequency.

Irrespective of the values of P_{\pm} and E_{\pm} we note that both asymptotic expansions (4) and (5) yield the same imaginary part of the dispersion relation

$$\Im\Lambda_{RH,LH}(R,S=0) = \pi^{1/2} w^2 \frac{b}{\Theta} \left(\frac{1}{\mu^{1/2}} \left[A_p \left[\frac{R}{b} \pm \frac{1}{\mu} \right] \mp \frac{1}{\mu} \right] e^{-\frac{\mu}{\Theta^2} (R \pm \frac{b}{\mu})^2} + \left[A_e \left[\frac{R}{b} \mp 1 \right] \pm 1 \right] e^{-\frac{(R-b)}{\Theta}^2} \right), \tag{31}$$



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

4.1. LH-polarized Alfven-proton-cyclotron and RH-polarized Alfven-Whistler-electron cyclotron branches

For $\beta_\parallel\ll(w^2/\mu)$ the asymptotic expansion (5) yield to lowest order in $\Theta^2\ll 1$ with the scaling R=bx

$$0 = \Re \Lambda_{RH,LH}(x, S = 0) = \left[b^2 + \frac{(1+\mu)w^2}{(1\pm\mu x)(1\mp x)} \right] x^2 - 1$$

$$\pm \frac{\beta_{\parallel}}{2} \left(\frac{A_e x \pm (1-A_e)}{(1\mp x)^3} - \frac{A_p \mu x \mp (1-A_p)}{(1\pm\mu x)^3} \right)$$
(32)

In different limits the solutions of Eqs. (32) describe Alfven waves, Whistler waves, cyclotron waves and electromagnetic waves.



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

4.2. Small plasma beta $\beta_{\parallel} \ll 1$

For subluminal solutions $R \ll 1$ and small parallel plasma beta $\beta_{\parallel} \ll 1$:

$$0 = \Re \Lambda_{RH,LH}(x, S=0) \simeq \frac{(1+\mu)w^2 x^2}{1 \pm (\mu-1)x - \mu x^2} - 1$$
(33)

yielding the solutions

$$x_{RH,LH} = \frac{1}{2(1+w^2)} \left[\sqrt{1 + \frac{4(1+w^2)}{\mu}} \pm 1 \right],$$
 (34)

For $w\gg\sqrt{(\mu/4)-1}=21.4,$ corresponding to small wavenumbers $k\ll\omega_{p,e}/21.4c,$ we obtain the Alfven wave solution

$$x_{RH,LH} \simeq \frac{1}{\sqrt{\mu(1+w^2)}} \simeq \frac{1}{\mu^{1/2}w},$$
 (35)

Consequently, at the measured $w \in [90, 330]$ of Bale et al. (2009) Alfven waves are the only weakly amplified modes contributing. Allowing also large plasma beta values $\beta_{\parallel} \leq 11(w/142)^2$ the Alfvenic dispersion relations generalizes to

$$x_{RH,LH} \simeq \frac{1}{\sqrt{1+\mu w}} \sqrt{1+(A-1)\beta_{\parallel}},$$
 (36)

requiring $A = (A_e + A_p)/2 > 1 - \beta_{\parallel}^{-1}$. For small plasma betas ($\beta_{\parallel} \leq 1$) this condition is always fulfilled, whereas for large plasma betas.



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

4.3. Growth rate and instability condition

Eq. (33) for small plasma beta $\beta_{\parallel} \ll 1$ also provides

$$\frac{\partial \Re \Lambda_{RH,LH}(R,S=0)}{\partial R} \simeq \frac{2 \pm (\mu - 1)x}{b(1+\mu)w^2 x^3} > 0$$
(37)

for all solutions (34). According to Eqs. (14) and (31) the growth/damping rate then is

$$S_{RH,LH} = -\frac{\pi^{1/2}(1+\mu)b^2w^4x^3}{\Theta[2\pm(\mu-1)x]}$$

$$\times \left(\frac{1}{\mu^{1/2}} \left[A_p\left[x \pm \frac{1}{\mu}\right] \mp \frac{1}{\mu}\right] = \frac{1}{\mu^2} e^{-\frac{\mu b^2}{\Theta^2} (x \pm \frac{1}{\mu})^2} + \left[A_e(x \mp 1) \pm 1\right] e^{-\frac{b^2(x \mp 1)^2}{\Theta^2}}\right), \quad (38)$$

For isotropic ($A_p = A_e = 1$) temperatures all modes of the RH and LH branches are damped in agreement with Brinca's (1990) general theorem. For instabilities $S_{RH,LH} > 0$, yielding $A_p = A_e = A_0$ with $W_{\pm}(x) = \frac{w^2}{2\beta_{\parallel}} \left[\pm 4x + (\mu - 1)(x^2 - \frac{1}{\mu}) \right]$

$$\pm \left(1 - \frac{1}{A_0}\right) \left[(\mu^{3/2} - 1) + (\mu^{3/2} + 1) \tanh(W_{\pm}) \right]$$

> $\mu x \left[\mu^{1/2} + 1 + (\mu^{1/2} - 1) \tanh(W_{\pm}) \right].$ (39)



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

4.4. Left-handed and right-handed polarized Alfven waves

For Alfven waves the instability condition (39) becomes

$$\pm \left(1 - \frac{1}{A_0}\right) \left[(\mu^{3/2} + 1) \tanh\left[\frac{w^2}{2\beta_{\parallel}}\right] - (\mu^{3/2} - 1) \right]$$

$$> x\mu \left[(\mu^{1/2} + 1) - (\mu^{1/2} - 1) \tanh\left[\frac{w^2}{2\beta_{\parallel}}\right] \right],$$
(40)

Because $w^2/2\beta_{\parallel} >> \mu/2 = 918$ we set $\tanh\left[\frac{w^2}{2\beta_{\parallel}}\right] = 1$, providing with the dispersion relation (36) for LH polarized Alfven waves

$$\left(1 - \frac{1}{A_0}\right) > \mu x = \frac{1}{h}\sqrt{1 + (A_0 - 1)\beta_{\parallel}}, \ h = \frac{w}{\mu^{1/2}}$$
(41)

which can only be fulfilled for $A_0 > 1$. h is the proton plasma frequency phase speed.

For RH polarized Alfven waves

$$\left(\frac{1}{A_0} - 1\right) > \mu x = \frac{1}{h}\sqrt{1 - (1 - A_0)\beta_{\parallel}},\tag{42}$$

which can only be fulfilled for $A_0 < 1$. Recall the constraint $A_0 > 1 - \beta_{\parallel}^{-1}$ here for $\beta_{\parallel} > 1$.



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

The two instability conditions are shown in Fig. 4.



Figure 4: Anisotropy diagram of LH (dashed curve) and RH (full curve) polarized Alfven waves calculated for w = 142 corresponding to a proton plasma frequency spase speed h = 3.31. Unstable regions are marked by "u". The dot-dashed curve illustrates the constaint $A_0 > 1 - \beta_{\parallel}^{-1}$.



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summarv and

- Unstable LH and RH polarized Alfven waves explain the observed confinement limits of solar wind turbulence at small parallel plasma beta.
- Anisotropy diagram controlled by single parameter: the proton plasma frequency phase speed $h=w/\mu^{1/2}\simeq 3.31$ for Bale et al. (2009) observations.
- For RH polarized waves $A_0 < h/(h+1) = 0.77$ for $\beta_{\parallel} \rightarrow 0$.
- For LH polarized waves $A_0 > h/(h-1) = 1.43$ for $\beta_{\parallel} \to 0$.
- Maximum parallel plasma beta $\beta_{\parallel,max}=1.968$ of unstable LH polarized Alfven waves at $A_0=y_1/(1-y_1)=2.634$ with

$$y_1 = h^{2/3} \left(\left[\sqrt{1 + \frac{h^2}{27}} + 1 \right]^{1/3} - \left[\sqrt{1 + \frac{h^2}{27}} - 1 \right]^{1/3} \right)$$
(43)



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

5. Weakly propagating solutions

For weakly propagating fluctuations with positive ${\cal S}>0$ we obtain

$$0 = \Re \Lambda_{RH,LH}(R = 0, S) = -S^2 - 1 - \frac{w^2 S}{\Theta} \left[\frac{1}{\mu^{1/2}} \Im Z \left(\frac{\mu^{1/2}}{\Theta} \left[iS \pm \frac{b}{\mu} \right] \right) + \Im Z \left(\frac{iS \mp b}{\Theta} \right) \right] + \frac{w^2}{2} \left[\frac{1 - A_p}{\mu} \Re Z' \left(\frac{\mu^{1/2}}{\Theta} \left[iS \pm \frac{b}{\mu} \right] \right) + (1 - A_e) \Re Z' \left(\frac{iS \mp b}{\Theta} \right) \right]$$
(44)

and

$$\Im\Lambda_{RH,LH}(R=0,S) = \frac{w^2}{2} \left[\frac{1-A_p}{\mu} \Im Z' \left(\frac{\mu^{1/2}}{\Theta} \left[\imath S \pm \frac{b}{\mu} \right] \right) + (1-A_e) \Im Z' \left(\frac{\imath S \mp b}{\Theta} \right) \right] + \frac{w^2 S}{\Theta} \left[\frac{1}{\mu^{1/2}} \Re Z \left(\frac{\mu^{1/2}}{\Theta} \left[\imath S \pm \frac{b}{\mu} \right] \right) + \Re Z \left(\frac{\imath S \mp b}{\Theta} \right) \right]$$
(45)



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summarv and

The absolute values of the arguments of the plasma dispersion function for equal parallel plasma temperatures $\Theta_e = \Theta_p = \Theta$ are given by

$$Y_e = |\frac{iS \pm b}{\Theta}| = \frac{\sqrt{S^2 + b^2}}{\Theta}, \ Y_p = \frac{\mu^{1/2}}{\Theta} |iS \pm \frac{b}{\mu}| = \frac{\mu^{1/2}}{\Theta} \sqrt{S^2 + \frac{b^2}{\mu^2}}$$
(46)

Four weakly propagating solutions are found which are identified as

- mirror fluctuations,
- IMW (intermediate magnetized Weibel) fluctuations ,
- electron cyctronic fluctuations (newly found),
- firehose fluctuations.

The main properties of these solutions are summarized in Tables 1-4 ($A = A_0$).



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

Imaginary phase speed range	$S > 0$ for $1 < \beta_{\parallel} < w^2/\mu$
Parallel plasma beta range	$1 \ll \beta_{\parallel} \ll w^2/\mu$
Imaginary phase speed	$S = \frac{2^{1/2}b}{\mu} \left[\frac{1 - A - \beta_{\parallel}^{-1}}{3(2 - A) + 2\frac{w^2}{\mu\beta_{\parallel}}} \right]^{1/2} \simeq \frac{\Theta}{(\mu + 1)^{1/2}}$
Real phase speed	$R = \frac{b}{2\mu} \frac{3-2A}{3(2-A) + \frac{2(\mu+1)w^2}{\mu^2\beta_{\parallel}}} \simeq \Theta \beta_{\parallel}^{1/2} \frac{3-2A}{4w}$
Polarization	right-handed
Existence condition	$w > \mu^{1/2} = 43$
Instability conditions:	$A < 1 - \frac{1}{\beta_{\parallel}}$
Limit in unmagnetized plasmas	not existing

Table 1: Properties of weakly propagating firehose fluctuations

Note: Instability condition opposite to Alfven wave existence condition.



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

Table 2: Properties of weakly propagating electron cyctronic fluctuations

Imaginary phase speed range	$S > 0$ for $\Theta^2 < \beta_{\parallel} < w^2$
Parallel plasma beta range	$\Theta \ll \beta_{\parallel} < w^2$
Imaginary phase speed	$S \simeq b \sqrt{\frac{3 + A_e}{A_e}} = \frac{\Theta w}{\beta_{\parallel}^{1/2}} \sqrt{\frac{3 + A_e}{A_e}}$
Real phase speed	$R \simeq \frac{b}{2} \frac{A_e + 1}{A_e} \frac{(3 + 2A_e)^2}{9 + 4A_e^2} = \frac{\Theta w}{2\beta_{\parallel}^{1/2}} \frac{A_e + 1}{A_e} \frac{(3 + 2A_e)^2}{9 + 4A_e^2}$
Polarization	left-handed
Existence condition	$\beta_{\parallel} < w^2$
Instability conditions:	$A_e \geq \frac{3}{\sqrt{1 + \frac{3\beta_{\parallel}}{4(1 + 2w^2)}} - 1} \simeq \frac{8(1 + 2w^2)}{\beta_{\parallel}}$
Limit in unmagnetized plasmas	not existing



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

Table 3: Properties of weakly propagating intermediate magnetized Weibel fluctuations

Imaginary phase speed range	$\Theta/\mu^{1/2}\ll S\ll\Theta$
Parallel plasma beta range	$\beta_{\parallel} \gg 2w^2$
Imaginary phase speed	$S \simeq \Theta \frac{A_e - (w^{-2} + \frac{\mu+1}{\mu})}{\pi^{1/2} (1 + \frac{2w^2}{\beta_{\parallel}})A_e}$
Real phase speed	$R \simeq \frac{\Theta w}{\beta_{\parallel}^{1/2}} \frac{A_e - 1}{A_e (1 + \frac{2w^2}{\beta_{\parallel}})}$
Polarization	left-handed
Existence condition	$A_e > 1 + w^{-2}$
Electron driven instability condition:	$A_e > \frac{w^{-2 + \frac{\mu + 1}{\mu}}}{1 + (\pi/\mu)^{1/2} (1 + \frac{2w^2}{\beta_{\parallel}})}$
Limit in unmagnetized plasmas	intermediate Weibel mode

Phase speed range	$S > 0$ for $\beta_{\parallel} < w^2, S > \Theta \sqrt{1 - \frac{w^2}{\beta_{\parallel}}}$ for $\beta_{\parallel} \ge w^2$
Plasma beta	$\Theta \ll \beta_{\parallel}$
Phase speed	$S \simeq \Theta w \left[\frac{A_e}{1+2\frac{\mu+1}{\mu}w^2} - \frac{3(A_e+1)}{A_e\beta_{\parallel}} \right]^{1/2}$
Real phase speed	$R \simeq \frac{\Theta w (2A_e+3)}{A_e^2 \beta_{\parallel}^{3/2}} \left[1 + \frac{w^2}{\mu} + \frac{1}{A_e} \right]$
Polarization	right-handed
Plasma beta condition	$\beta_{\parallel} > \frac{6(A_e+1)}{A_e^2} (1 + \frac{\mu+1}{\mu} w^2)$
Existence condition	$A > 2(1 + \frac{1}{\mu} + w^{-2})$ for $\beta_{\parallel} > 1 + \frac{\mu + 1}{\mu}w^{2}$
Instability conditions:	$A_e > \max\left[\frac{3}{\sqrt{1 + \frac{3\beta_{\parallel}}{8(1 + \frac{\mu+1}{\mu}w^2)}} - 1}, 2\left(1 + \frac{1}{\mu} + w^{-2}\right)\right]$
b = 0	hot-Weibel mode

Table 4: Properties of weakly propagating mirror fluctuations



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

6. Comments on weakly propagating solutions

- In contrast to pair plasmas, all modes are weakly propagating in a finite magnetic field, as they have a finite real phase speed R ≠ 0.
- In the limit of an unmagnetized plasma the mirror and IMW fluctuations approach the dispersion relations of the aperiodic hot and cool Weibel fluctuations, whereas parallel firehose and electron cyctronic fluctuations do not exist.
- The mirror and IMW fluctuations occur at large plasma beta values $\beta_{\parallel} > w^2$, whereas the electron cyctronic fluctuations occur at small values of $\beta_{\parallel} < w^2$.
- The firehose fluctuations are restricted to the range $1 \le \beta_{\parallel} \le w^2/\mu = h^2$ and require $w > \mu^{1/2}$ (h > 1).



Introduction
Dispersion
Observed solar
Weakly amplified
Weakly
Comments on
Summary and

7. Summary and conclusions

We rigorously studied the dispersion relations of weakly amplified and weakly propagating transverse fluctuations with wave vectors $\vec{k} \times \vec{B}_0 = 0$ in an anisotropic bi-Maxwellian magnetized proton-electron plasma. Five different modes can be excited.

- The Alfven instability is the only weakly amplified solution, whereas the four weakly propagating solutions are the mirror, electron cyclotronic, firehose and intermediate magnetized Weibel fluctuations, respectively.
- The four weakly propagating solutions are weakly propagating in a finite magnetic field with finite real phase speeds $R \propto b > 0$.
- In an unmagnetized plasma the mirror and IMW fluctuations approach the dispersion relations of the aperiodic hot and cool Weibel fluctuations, whereas parallel firehose and electron cyctronic fluctuations do not exist.
- In agreement with Brinca's (1990) general theorem on the electromagnetic stability of isotropic plasma populations none of these modes can be excited for isotropic plasma distributions (A = 1).
- For equal parallel electron and proton temperatures, a general analytical instability condition for weakly amplified fluctuations is derived for equal values of the electron and proton temperature anisotropies.



- The conditions, for which the weakly amplified LH-handed and RH polarized Alfven waves can be excited, are derived. Unstable LH and RH polarized Alfven waves explain the observed confinement limits of solar wind turbulence of Bale et al. (2009) at small parallel plasma beta.
- At large parallel plasma beta and small anisotropies A < 1 unstable firehose fluctuations operate, whereas at large parallel plasma beta and large anisotropies A > 1 mirror, IMW and electron cyctronic explain the observed confinement limits of solar wind turbulence.
- Apparently the combined action of all five instabilities account for the observations.
- As other dilute cosmic plasmas have similar densities, temperatures and magnetic fields as the solar wind, these instabilities provide the clue to the understanding of equipartition conditions in cosmic plasmas.
- Future analytical work: (1) studies of perpendicular fluctuations in bi-Maxwellian pair and electron-proton plasmas, (2) generalization to relativistic plasmas, (3) fluctuation theory in anisotropic MHD with no restriction to \vec{k} -direction.
- Thanks to collaborators Dominik Ibscher, Marian Lazar, Michal Michno and Tomislav Skoda.

